

2152  
#6  
BT

10-16-02

PATENT  
P6202  
0045.0018

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
M.A. Carlson et al. )  
Serial No.: 09/928,128 )  
Filed: August 10, 2001 )  
For: METHOD, SYSTEM, AND )  
PROGRAM FOR GENERATING )  
AND USING CONFIGURATION )  
POLICIES )

Examiner: Not Yet Assigned

Art Unit: 2152

**RECEIVED**  
OCT 11 2002  
Technology Center 2100

Assistant Commissioner of Patents  
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,



David W. Victor

Dated: October 7, 2002

Reg. No. 39,867

KONRAD RAYNES VICTOR & MANN, LLP

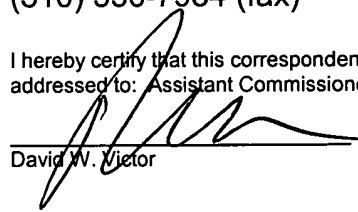
315 S. Beverly Drive, Suite 210

Beverly Hills, CA 90212

(310) 556-7983

(310) 556-7984 (fax)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on October 7, 2002.



David W. Victor

10/7/02  
(Date)

*(Use several sheets if necessary)*

**Application Number**  
09/928,128

**Filing Date**  
August 10, 2001

**Group Art Unit**  
**2152**

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation	
												YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

•	U.S. Patent Application Serial No. 09/927,985, filed on August 10, 2001, entitled, "Method, System, and Program for Managing Multiple Resources in a System", invented by M.A. Carlson and R.E. de Silva.
•	Sun Microsystems, Inc., "Federated Management Architecture (FMA) Specification", Version 1.0, Rev. 0.4, January 21, 2000, pp. 1-184.
•	Wilson, S., for Brocade Communications Systems, Inc., "Fibre Channel Storage Area Network Discovery", Rev. 3.5, September 10, 1999, pp. 1-20.
•	Veritas Software Corporation, "Storage Virtualization", April 2001, pp. 1-19.
•	Sun Microsystems, Inc., "Sun StorEdge Component Manager 2.1 User's Guide", Rev. A, July 2000, pp. 1-148

EXAMINER	DATE CONSIDERED
----------	-----------------

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.